



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Michal Hlavac et al.  
Serial No.: 10/658,969  
Filing Date: 09/09/2003  
Title: VIRTUAL CHARACTER AND VIRTUAL ENVIRONMENT  
UTILIZING BEHAVIOR STATES, EMOTION STATES AND  
LEARNING STATES  
Group Art Unit: 2628  
Examiner: Daniel F. Hajnik  
Attorney's Docket No.: INGEENI-1

Mail Stop Amendment  
Commissioner for Patents  
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Sir:

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December 5, 2006

(DATE OF DEPOSIT)

Margaret M. Slezak

(NAME OF ATTORNEY)

(SIGNATURE)

December 5, 2006

(DATE OF SIGNATURE)

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby submit information which may be considered material to patentability of this application. This information is listed on the accompanying form.

Copies of documents 1-8, which are listed on the accompanying form, PTO/SB/08A (one page), have not been submitted since submission of copies of U.S. patents and U.S. patent applications is no longer required under 37 CFR 1.98.

Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of PTO/SB/08A (one page) submitted herewith, as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

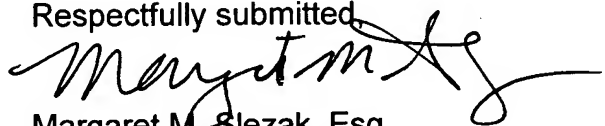
It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

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This Information Disclosure Statement is being filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination under 37 CFR 1.114. No certification or fee is believed to be required, however, should the Examiner determine that any fees are due in connection with this submission, please charge the same to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Margaret M. Slezak', with a stylized flourish at the end.

Margaret M. Slezak, Esq.  
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Substitute for form 1449/PTO

**Complete if Known**

Application Number	10/658,969
Filing Date	09/09/2003
First Named Inventor	Michal Hlavac
Art Unit	2628
Examiner Name	Daniel F. Hajnik
Attorney Docket Number	INGEENI-1

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 5,730,654	03-24-1998	Brown	
	2	US- 5,880,731	03-09-1999	Liles et al.	
	3	US- 6,267,672	07-31-2001	Vance	
	4	US- 6,476,830	11-05-2002	Farmer et al.	
	5	US- 6,561,811	05-13-2003	Rapoza et al.	
	6	US- 6,763,273	07-13-2004	Chudley et al.	
	7	US- 6,956,575	10-18-2005	Nakazawa et al.	
	8	US- 2006/0026048 A1	02-02-2006	Kolawa et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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SignatureDate  
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INGEENI - 1